

FORM NL-12 & 12A -INVESTMENT SCHEDULE

	Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As at 30th September ,2022	As At 30th September ,2021	As at 30th September,2022	As At 30th September,2021	As at 30th September,2022	As At 30th September,2021
	LONG TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	34,237	30,050	1,11,412	97,724	1,45,649	1,27,774
2	Other Approved Securities	741	321	2,411	1,044	3,152	1,365
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	1,271	-	4,137	-	5,408	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	19,635	11,957	63,895	38,884	83,530	50,841
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	14,000	16,682	45,559	54,250	59,560	70,932
5	Other than Approved Investments Debentures/Bonds/Equity	203	120	662	391	865	511
6	Investments in Alternate Investment Fund	212	-	691	-	904	-
		70,300	59,130	2,28,767	1,92,292	2,99,067	2,51,422
	SHORT TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	6,365	4,276	20,712	13,906	27,077	18,182
2	Other Approved Securities	4,729	-	15,389	-	20,118	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	1,006	-	3,271	-	4,277
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	83	397	270	1,292	354	1,689
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	5,910	11,305	19,233	36,766	25,143	48,071
	(e) Other Securities/bank deposit	461	2,710	1,502	8,814	1,963	11,524
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	1,888	5,039	6,143	16,387	8,030	21,426
5	Other than Approved Investments	-	268	-	871	-	1,138
	(a) Shares : Equity	-	-	-	-	-	-
	(b) Mutual Fund	-	-	-	-	-	-
	(c) Debentures/ Bonds	-	-	-	-	-	-
	TOTAL	19,436	25,001	63,249	81,306	82,685	1,06,307
	GRNAD TOTAL	89,736	84,131	2,92,016	2,73,598	3,81,752	3,57,729

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:

- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.

- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.

- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

	Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
		Total		Total		Total	
		As at 30th September ,2022	As At 30th September ,2021	As at 30th September,2022	As At 30th September,2021	As at 30th September,2022	As At 30th September,2021
	Long Term Investments--						
	Book Value	56,769	59,009	2,12,998	1,91,902	2,69,768	2,50,911
	market Value	54,736	60,468	2,05,368	1,96,645	2,60,104	2,57,113
	Short Term Investments--						
	Book Value	13,333	23,495	50,027	76,408	63,360	99,903
	market Value	13,088	23,668	49,106	76,971	62,193	1,00,640